

10/31/01
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PTO/SB/05 (11-09)

Approved for use through 10/31/2002. OMB 0651-0032
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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>		Attorney Docket No. 42390P12386
		First Inventor or Application Identifier William W. Macy
Title AN APPARATUS AND METHOD FOR LOADING A DATA STORAGE DEVICE		
Express Mail Label No.		EL651893649US

A
11017 U.S. PTO
09/945422
08/31/01

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents</small>		ADDRESS TO:	Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) <small>(Submit an original, and a duplicate for fee processing)</small>		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
2. <input type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27.</small>		8. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small>	
3. <input checked="" type="checkbox"/> Specification <small>(preferred arrangement set forth below)</small> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure		a. <input type="checkbox"/> Computer Readable Form (CFR) b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statement verifying identity of above copies	
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C.113) <small>Total Sheets 18</small>		ACCOMPANYING APPLICATION PARTS	
5. Oath or Declaration <small>Total Pages</small>		9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small>	
a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 18 completed)</small>		11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO - 1449 <input type="checkbox"/> Copies of IDS Citations	
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).		13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small>	
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76.		15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 16. <input type="checkbox"/> Request and Certification under 35 USC 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> Other:	

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:
 Continuation Divisional Continuation-in-part (CIP) of prior application No: _____ / _____

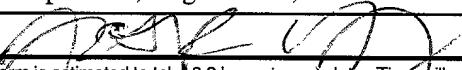
Prior application Information: Examiner _____ Group/Art Unit: _____

For **CONTINUATION or DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

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Address	12400 Wilshire Boulevard, Seventh Floor			
City	Los Angeles	State	California	Zip Code
Country	U.S.A.	Telephone	(310) 207-3800	Fax (310) 820-5988

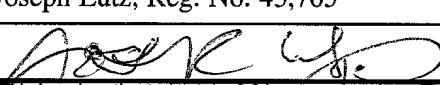
Name (Print/Type) Joseph Lutz, Reg. No. 43,765

Signature 

Date 08/31/01

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<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2001</h2> <p style="margin: 0; font-size: small;">Patent fees are subject to annual revision.</p>		<p style="text-align: right; margin: 0;"><i>Complete if Known</i></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td colspan="3" style="width: 50%;"></td> </tr> <tr> <td>Filing Date</td> <td colspan="3">08/31/01</td> </tr> <tr> <td>First Named Inventor</td> <td colspan="3">William W. Macy, et al.</td> </tr> <tr> <td>Examiner Name</td> <td colspan="3"></td> </tr> <tr> <td>Group Art Unit</td> <td colspan="3"></td> </tr> <tr> <td>Attorney Docket Number</td> <td colspan="3">42390P12386</td> </tr> </table>				Application Number				Filing Date	08/31/01			First Named Inventor	William W. Macy, et al.			Examiner Name				Group Art Unit				Attorney Docket Number	42390P12386																																																																																																												
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<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number 02-2666</p> <p>Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>		<p>3. ADDITIONAL FEE</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;">Large Entity</th> <th style="width: 15%;">Small Entity</th> <th style="width: 15%;">Fee Description</th> <th style="width: 15%;">Fee Paid</th> </tr> <tr> <th>Fee Code (\$)</th> <th>Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205 65 Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>127</td> <td>50</td> <td>227 25 Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>139 130 Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147 2,520 For filing a request for ex parte reexamination</td> <td></td> </tr> <tr> <td>112</td> <td>920</td> <td>112 920 Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>113</td> <td>1,840*</td> <td>113 1,840* Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td>215 55 Extension for response within first month</td> <td></td> </tr> <tr> <td>116</td> <td>390</td> <td>216 195 Extension for response within second month</td> <td></td> </tr> <tr> <td>117</td> <td>890</td> <td>217 445 Extension for response within third month</td> <td></td> </tr> <tr> <td>118</td> <td>1,390</td> <td>218 695 Extension for response within fourth month</td> <td></td> </tr> <tr> <td>128</td> <td>1,890</td> <td>228 945 Extension for response within fifth month</td> <td></td> </tr> <tr> <td>119</td> <td>310</td> <td>219 155 Notice of Appeal</td> <td></td> </tr> <tr> <td>120</td> <td>310</td> <td>220 155 Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>270</td> <td>221 135 Request for oral hearing</td> <td></td> </tr> <tr> <td>138</td> <td>1,510</td> <td>138 1,510 Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>140</td> <td>110</td> <td>240 55 Petition to revive - unavoidably</td> <td></td> </tr> <tr> <td>141</td> <td>1,240</td> <td>241 620 Petition to revive - unintentionally</td> <td></td> </tr> <tr> <td>142</td> <td>1,240</td> <td>242 620 Utility issue fee (or reissue)</td> <td></td> </tr> <tr> <td>143</td> <td>440</td> <td>243 220 Design issue fee</td> <td></td> </tr> <tr> <td>144</td> <td>600</td> <td>244 300 Plant issue fee</td> <td></td> </tr> <tr> <td>122</td> <td>130</td> <td>122 130 Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>123</td> <td>50</td> <td>123 50 Petitions related to provisional applications</td> <td></td> </tr> <tr> <td>126</td> <td>180</td> <td>126 180 Submission of Information Disclosure Stmt</td> <td></td> </tr> <tr> <td>581</td> <td>40</td> <td>581 40 Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td>146</td> <td>710</td> <td>246 355 Filing a submission after final rejection (37 CFR 1.129(a))</td> <td></td> </tr> <tr> <td>149</td> <td>710</td> <td>249 355 For each additional invention to be examined (37 CFR 1.129(b))</td> <td></td> </tr> <tr> <td>179</td> <td>710</td> <td>279 355 Request for Continued Examination (RCE)</td> <td></td> </tr> <tr> <td>169</td> <td>900</td> <td>169 900 Request for expedited examination of a design application</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: center; padding: 5px;"> Other fee (specify) _____ </td> </tr> <tr> <td colspan="4" style="text-align: center; padding: 5px;"> * Reduced by Basic Filing Fee Paid </td> <td colspan="2" style="text-align: right; padding: 5px;"> SUBTOTAL (3) (\$) </td> </tr> </tbody></table>				Large Entity	Small Entity	Fee Description	Fee Paid	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid	105	130	205 65 Surcharge - late filing fee or oath		127	50	227 25 Surcharge - late provisional filing fee or cover sheet		139	130	139 130 Non-English specification		147	2,520	147 2,520 For filing a request for ex parte reexamination		112	920	112 920 Requesting publication of SIR prior to Examiner action		113	1,840*	113 1,840* Requesting publication of SIR after Examiner action		115	110	215 55 Extension for response within first month		116	390	216 195 Extension for response within second month		117	890	217 445 Extension for response within third month		118	1,390	218 695 Extension for response within fourth month		128	1,890	228 945 Extension for response within fifth month		119	310	219 155 Notice of Appeal		120	310	220 155 Filing a brief in support of an appeal		121	270	221 135 Request for oral hearing		138	1,510	138 1,510 Petition to institute a public use proceeding		140	110	240 55 Petition to revive - unavoidably		141	1,240	241 620 Petition to revive - unintentionally		142	1,240	242 620 Utility issue fee (or reissue)		143	440	243 220 Design issue fee		144	600	244 300 Plant issue fee		122	130	122 130 Petitions to the Commissioner		123	50	123 50 Petitions related to provisional applications		126	180	126 180 Submission of Information Disclosure Stmt		581	40	581 40 Recording each patent assignment per property (times number of properties)		146	710	246 355 Filing a submission after final rejection (37 CFR 1.129(a))		149	710	249 355 For each additional invention to be examined (37 CFR 1.129(b))		179	710	279 355 Request for Continued Examination (RCE)		169	900	169 900 Request for expedited examination of a design application		Other fee (specify) _____				* Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$)	
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Typed or Printed Name	Joseph Lutz, Reg. No. 43,765			Reg. Number																																																																																																																																			
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